08-24-01

GRAYCARY, TECHNOLOGY'S LEGAL EDGESM

4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189 www.graycary.com 0] 858-677-1456 858-677-1465

ATTORNEY DOCKET NO.: SCRIP1300-3

EXPRESS MAIL Number: EL 617 042 690 US Date of Deposit: August 24, 2001 I hereby certify that this paper is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR § 1.10 on the date

indicated above and is addressed to BOX PATENT APPLICATION, Commissioner of Patents and Trademarks; Washington, DC 20231.

ALDON GRIFFIS (Name of Individual Depositing with P.O.)

(Signature of Individual Depositing with P.C.

ATTN: BOX PATENT APPLICATION

THE COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the new provisional-to-utility patent application of:

Jeffrey F. Harper, Joel Kreps, Xun Wang and Tong Zhu APPLICANTS: .

FOR:

STRESS-REGULATED GENES OF PLANTS, TRANSGENIC PLANTS CONTAINING SAME, AND METHODS OF USE

Enclosed are the following papers, including all those required to receive a filing date under 37 CFR § 1.53(b):

Number of Pages

Transmittal Under 37 CFR. 1.52(e) Statement Under 37 CFR §§ 1.821(f) 1 205 Specification Claims 18 Abstract 4 Declaration - (Unsigned) Drawings - (Formal) 3 (Disks) CDR Disks

SILICON VALLEY SAN DIEGO SAN DIEGO/GOLDEN TRIANGLE SAN FRANCISCO AUSTIN SEATTLE SACRAMENTO LA JOLLA

Gray Cary\GT\6253834.1 740166-43

ATTORNEY DOCKET NO.: SCRIP1300-3 BOX PATENT APPLICATION August 24, 2001 Page 2

Enclosure:

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The filing fee has been calculated as shown below: Small Entity status is claimed.

	Number Filed		Number Extra	Rate			Fee	
				Large Entity	Small Entity		Small Entity	Large Entity
Total Claims	79 -20	un	59	\$18	\$09	=	\$0	\$1,062
Independent Claims	12 -3	=	9	\$80	\$40	=	\$0	\$720.00
Multiple Dependent Claims Presented:YesX_No				\$270	\$135			\$.00
				BASIC	BASIC FILING FEE			\$710.00
				TOTAL FEE			\$0	\$2,492

PLEASE DEFER THE PAYMENT OF THE FILING FEE until the executed Declaration is filed.

If this application is found to be INCOMPLETE, of if a telephone conference would otherwise be helpful, please call the undersigned at (858) 677-1496.

All future correspondence should be addressed to:

Lisa A. Haile, J.D., Ph.D. GRAY CARY WARE & FREIDENRICH ILP 4365 Executive Drive, Suite 1600 San Diego, CA 92121-2189 Telephone: (858) 677-1456 Facsimile: (858) 677-1465 ATTORNEY DOCKET NO.: SCRIP1300-3 BOX PATENT APPLICATION August 24, 2001 Page 3

A copy of this letter is enclosed. Kindly acknowledge receipt of this application by returning the enclosed postcard.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Lisa A. Haile, J.D., Ph.D.

Registration No. 38,347

August 24, 2001 Date

Enclosure LAH/RJI:ag

Gray Cary\GT\6253834.1 740166-43

Attorney Docket No.: SCRIP1300-3

Express Mail No: EL 617 042 690 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Harper et al. Art Unit: Not yet assigned Serial No: Not yet assigned Filed: August 24, 2001

For: STRESS-REGULATED GENES OF PLANTS, TRANSGENIC PLANTS CONTAINING

SAME AND METHODS OF USE

Examiner: Unknown

Transmittal Letter under 37 C.F.R. 1.52(e)

August 24, 2001

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

Submitted along with the above referenced application and incorporated by reference therein are three CD-R compact discs labeled "Copy 1", "Copy 2" and "CRF." As required by 37 C.F.R. 1.52(e), Applicants herein provide the following information for each disc.

Disc labeled "Copy 1"

Machine format: IBM-PC Operating system compatibility: MS-Windows

Files:

File Name: SCRIP1300-3_SEQUENCE_LISTING File size: 9.972 KB

Date created: 8/20/2001 File type: Text Document

File Name: SCRIP1300-3_Table_32

File size: 1,251 KB
Date created: 8/20/2001
File Type: Text Document

Attorney Docket No.: SCRIP1300-3

Disc labeled "Copy 2" Machine format: IBM-PC

Operating system compatibility: MS-Windows

Files:

File Name: SCRIP1300-3_SEQUENCE_LISTING

File size: 9,972 KB Date created: 8/20/2001 File type: Text Document

File Name: SCRIP1300-3_Table_32

File size: 1,251 KB
Date created: 8/20/2001
File Type: Text Document

Disc labeled "CRF"

Operating system compatibility: MS-Windows

Files:

File Name: SCRIP1300-3_SEQUENCE_LISTING

File size: 9,972 KB Date created: 8/20/2001 File type: Text Document

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Lisa A. Haile, J.D., Ph.D.

Registration No. 38,347

August 24, 2001

Date

Enclosure LAH/RJI:ag